

Date Filed: November 9, 2001

The Patent and Trademark Office stamping  
sets forth the receipt date of materials as follows:

Applicant(s): Liu, et al.,  
Serial No: 09/928,463  
Filing Date: August 13, 2001  
Title: INSECT CONTROL AGENT

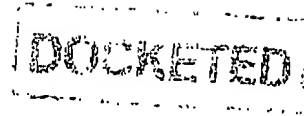
- 1) Statement Filed Under 37 CFR 1.821;
- 2) Amendment Introducing Sequence Listing
- 2) Paper Copy of Sequence Listing;
- 3) Computer-Readable Diskette with Sequence Listing

Attorney: MRG Attorney Docket No. 2002630-0011  
3209868\_1.DOC

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## UNITED STATES PATENT AND TRADEMARK OFFICE

BHJ / MRG

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,463	08/13/2001	Leo Liu	2002630-0011

24280

 Choate, Hall & Stewart  
 Exchange Place  
 53 State Street  
 Boston, MA 02109

<input checked="" type="checkbox"/> Data Entry <i>1/5</i>		DONE BY <i>2/10/3/10 final</i> <i>1/5</i>
<input type="checkbox"/> Docket Entry		
<input type="checkbox"/> Docket Crossover		
<input checked="" type="checkbox"/> Previously Entered		
<input type="checkbox"/> No Docketing Required		
<input type="checkbox"/> CPI Annuity		

CONFIRMATION NO. 8262

## FORMALITIES LETTER



\*OC000000007356143\*

Date Mailed: 01/24/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 11/09/2001 to the Notice to File Missing Parts (Notice) mailed 09/10/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

*felus*  
\_\_\_\_\_  
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Initial Patent Examination Division (703) 308-1202

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MBG/  
LAW

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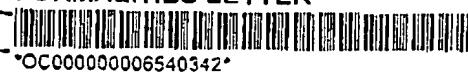
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,463	08/13/2001	Leo Liu	2002630-0011

24280  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109

DOCKETED BY: LDU

FORMALITIES LETTER

DUE DATE: Seq. Listing



\*OC000000006540342\*

10/10/01

11/10/01

12/10/01

1/10/02

2/10/02

Date Mailed: 09/10/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

3/10/02 SGL

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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*A copy of this notice MUST be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

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**CHOATE, HALL & STEWART**

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**TELECOPY TRANSMITTAL SHEET**

Number of pages being sent \_\_\_\_\_ (including this page)

**DATE:** March 8, 2002

Recipient	Company	Fax No.	Phone No.
1. Office of Initial Patent Examination	U.S. Patent and Trademark Office	703-308-7751	

**FROM:** Monica R. Gerber, M.D., Ph. D.**DIRECT DIAL:** (617) 248-5071

**REMARKS:** Per my assistant's phone conversation this morning with Mr. Williams, attached please find a Notice of Incomplete Reply mailed January 24, 2002 (**deadline for responding March 10, 2002**), along with a copy of our response to the Notice to File Corrected Application Papers filed November 9, 2001 consisting of a Statement Under 37 CFR 1.821, Amendment Introducing Sequence Listing, paper copy of Sequence Listing, return postcard. Also attached is a copy of the return postcard date stamped January 16, 2002 by the OIPE indicating receipt of these documents.

I understand that we have complied with the requirements for responding to the Notice to File Corrected Application Papers and therefore no response is necessary to the Notice of Incomplete Reply. Please advise if this is not the case and a response needs to be filed.

Please do not hesitate to contact me should you have any questions or concerns.

*Monica R. Gerber*  
-Monica R. Gerber, M.D., Ph.D.  
Reg. No. 46,724

**TIME SENT:** \_\_\_\_\_ **OPERATOR:** \_\_\_\_\_ **CLIENT NO.** 2002630-0011

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